



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/761,978	01/21/2004	Howard Cohen	479-P-007B	4044
7277	7590	07/07/2005		
HOWARD C. MISKIN C/O STOLL, MISKIN, & BADIE THE EMPIRE STATE BUILDING 350 FIFTH AVENUE SUITE 4710 NEW YORK, NY 10118			EXAMINER SNOW, BRUCE EDWARD	
			ART UNIT 3738	PAPER NUMBER

DATE MAILED: 07/07/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

Application No.

10/761.978

Applicant(s)	
---------------------	--

COHEN ET AL.

Examiner

Bruce E. Snow

Art Unit

3738

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 22 April 2005.
2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-42 is/are pending in the application.
4a) Of the above claim(s) 8-10, 16, 21-23, 25-37 and 40-42 is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 17-20 is/are rejected.
- 7) ☒ Claim(s) 1-7, 11-15, 24, 38 and 39 is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. ____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- * See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- 1) ☐ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date _____.
- 4) ☐ Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: _____.

DETAILED ACTION

Response to Arguments

Applicant's arguments filed April 22, 2005, have been fully considered. Regarding the Election/Restriction requirement, claim 11 was incorrectly indicated as being withdrawn from prosecution but was previously considered. Claims 17-20 have been added back into prosecution and are allowable.

Regarding the objection to the drawings, Figure 11A does not show a temporarily stabilizing means as claimed in at least claim 13. Additionally, the description on page 18, lines 7-21 is specific to figures 11 and not the elected embodiment.

The rejections of claim 24 under 35 USC 112, have been withdrawn. The Examiner notes support for said claim in allowed claim 16 of U.S. Patent 6,719,796, but it is not clear if claim 16 was an originally filed claim and if support is found in U.S. Patent 6,454,806 for which the current applicant is dependent.

Regarding rejected under 35 U.S.C. 102(e) as being clearly anticipated by Cauthen (6,019,792), applicant argues that elements 20 and 22 are not "plate members". The Examiner notes that applicant's specification does not use nor support the term "plate member", and, therefore must give its broadest reasonable interpretation to one skilled in the art. It is noted in applicant's specification, the end portions of the vertebrae are called the "end plates"; one skilled in the art would appreciate an end member of a vertebrae implant which engage the end plates and are thus called the "plate members". The Examiner further notes applicant teaches various

Art Unit: 3738

embodiments which are cylindrical and non-cylindrical and labels each as "upper and lower sections".

Regarding the new limitation, added to claim 1, "compressibly" describing the flexible supporting means, as noted by applicant, Cauthen teaches element 90 as "resilient to absorb implant loading" which is interpreted by the Examiner as "flexibly and compressibly".

Regarding the combination rejection of Cauthen (6,019,792) in view of Gauchet et al (6,733,532), the Examiner's reasoning of know alternative/equivalent configurations is believed clearly stated.

Allowable Subject Matter

Claims 17-20 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

Election/Restrictions

Claims 8-10, 16, 21-23, 25-37, and 40-42 are withdrawn from further consideration pursuant to 37 CFR 1.142(b) as being drawn to a nonelected invention/species.

Drawings

The drawings are objected to under 37 CFR 1.83(a) because they fail to show at least claims 13-15, 38, 39 claiming a supplemental support as described in the specification. Any structural detail that is essential for a proper understanding of the disclosed invention should be shown in the drawing. MPEP § 608.02(d). Corrected

Art Unit: 3738

drawing sheets in compliance with 37 CFR 1.121(d) are required in reply to the Office action to avoid abandonment of the application. Any amended replacement drawing sheet should include all of the figures appearing on the immediate prior version of the sheet, even if only one figure is being amended. The figure or figure number of an amended drawing should not be labeled as "amended." If a drawing figure is to be canceled, the appropriate figure must be removed from the replacement sheet, and where necessary, the remaining figures must be renumbered and appropriate changes made to the brief description of the several views of the drawings for consistency. Additional replacement sheets may be necessary to show the renumbering of the remaining figures. The replacement sheet(s) should be labeled "Replacement Sheet" in the page header (as per 37 CFR 1.84(c)) so as not to obstruct any portion of the drawing figures. If the changes are not accepted by the examiner, the applicant will be notified and informed of any required corrective action in the next Office action. The objection to the drawings will not be held in abeyance.

Claim Rejections - 35 USC § 112

The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

At least claims 13-15, 38, 39 are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the enablement requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to enable one

Art Unit: 3738

skilled in the art to which it pertains, or with which it is most nearly connected, to make and/or use the invention. One of ordinary skill the art cannot conceive applicant's claim of a supplemental support in combination with elected embodiment as claimed and make and/or use the device.

Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

(e) the invention was described in a patent granted on an application for patent by another filed in the United States before the invention thereof by the applicant for patent, or on an international application by another who has fulfilled the requirements of paragraphs (1), (2), and (4) of section 371(c) of this title before the invention thereof by the applicant for patent.

The changes made to 35 U.S.C. 102(e) by the American Inventors Protection Act of 1999 (AIPA) and the Intellectual Property and High Technology Technical Amendments Act of 2002 do not apply when the reference is a U.S. patent resulting directly or indirectly from an international application filed before November 29, 2000. Therefore, the prior art date of the reference is determined under 35 U.S.C. 102(e) prior to the amendment by the AIPA (pre-AIPA 35 U.S.C. 102(e)).

Claims 1-5, 11-13 are rejected under 35 U.S.C. 102(e) as being clearly anticipated by Cauthen (6,019,792).

Cauthen teaches an artificial disc for placement between adjacent vertebrae comprising:

at least two plate members 20, 22, each plate member having a corresponding surface:

at least one means for temporarily stabilizing said plate members for a certain period of time to allow at least two of said plate members to osteo-integrate with adjacent vertebrae (see elements 82, 94, etc and at least 3:7 et seq. and 6:43 et seq.); and

at least one flexible supporting means (see element 90 in figure 5) interposed between said plate members and abutting said corresponding surfaces, said flexible support means flexibly supporting said plate members after said certain period of time.

Regarding claim 4, the plate members are made of titanium and therefore, ball element 42 is titanium which is interpreted as a portion of the flexible supporting means.

Regarding at least claim 12, "rigid collar surrounding", see 7:7 et seq. teaching filling a biodegradable polymer between the plate members which forms a collar.

Regarding at least claim 13, "supplemental support", see the bioabsorbable endcap 90.

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

Art Unit: 3738

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 24 and 14-15, 38, 39 are rejected under 35 U.S.C. 103(a) as being unpatentable over Cauthen (6,019,792) in view of Shinn et al (5,683,465).

Cauthen teaches the artificial disc as described above including using drugs for bone ingrowth (see 8:12 et seq.), however, is silent regarding a "substance with anti-microbial drug eluting factors". Shinn et al teaches a similar artificial disc which uses drugs to promote healing including an antibiotic. It would have been obvious to one having ordinary skill in the art have used an antibiotic as taught by Shinn et al or any other drug known in the art of prosthetics to promote healing, lessen the chances of infection or simply improve implantation of the disc in bone.

Regarding claims 14-15 and 38-39, Cauthen teaches the artificial disc as described above including using "one or more stabilizing means for temporarily rigidly coupling the first [plate] and second [plate]. It would have been obvious to one having ordinary skill in the art to have utilized any known stabilizing means known in the art as deemed necessary by the surgeon. Additionally, lacking any criticality in the specification, the use of the specifically claimed supplemental support.

Claim 4, 6-7 are rejected under 35 U.S.C. 103(a) as being unpatentable over Cauthen (6,019,792) in view of Gauchet et al (6,733,532).

Cauthen teaches the artificial disc as described above, however, fails to teach the flexible disc has opposed convex surfaces. Gauchet et al also teaches an artificial

disc which can have a single convex surface like Cauthen (see figure 6 of Gauchet et al), or can have opposed convex surfaces. It would have been to one having ordinary skill in the art to have utilized the opposed convex surfaces configuration taught by Gauchet et al with the device of Cauthen as a known alternative/equivalent configuration.

Regarding claim 4, not Gauchet et al further teaches bellows 22 made of titanium as an additionally flexible supporting means and would have been obvious to one having ordinary skill in the art to have used on the disc of Cauthen for additionally cushioning or limit of flexibility.

Conclusion

Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than **SIX MONTHS** from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Bruce E Snow whose telephone number is (571) 272-4759. The examiner can normally be reached on Mon-Thurs.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Corrine McDermott can be reached on (571) 272-4754. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

bes

A handwritten signature in black ink, appearing to be 'BS' followed by a stylized flourish.

BRUCE SNOW
PRIMARY EXAMINER